ANOTO: Improving Automated Negotiation via Offline-to-Online Reinforcement Learning

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1 INTRODUCTION

Thanks to the popularity of deep learning and reinforcement learning, these approaches have been successfully deployed in a wide range of scenarios [9, 16-19]. Automated negotiation [1, 2, 5, 6, 11] is now focusing on deep reinforcement learning-based negotiating agents [3, 8] because of their ability to adapt to different scenarios and opponents [4, 7, 12, 15, 20]. However, continuous interaction with opponents is often impractical and unrealistic, especially in real-world applications where data collection can be challenging and costly [10, 14]. Consequently, designing autonomous agents that can learn effective negotiation strategies without online interactions remains a significant open problem. Another important challenge is adapting to the behavior of opponents, as they may change their strategy in subsequent negotiations. The contributions of this work are two-fold: a novel approach is proposed for bilateral multi-issue negotiation via Offline-to-Online reinforcement learning; experimental results also show that our approach is effective.

2 METHODOLOGY

The diagram of our whole framework is shown in Fig. 1. The agent is based on an end-to-end policy network, which takes the historical bids of both parties as input and outputs the corresponding negotiation action. The negotiation action consists of bids (ω_s) and acceptance signals (χ). Thus, a negotiation action is denoted by a combination of bids $\omega = (o_1, o_2, ..., o_n)$ and acceptance signals $\chi \in \{0, 1\}$, where o_k means the agent's choice for the *k*-th issue. These actions form a factored action space \mathcal{A} , which can be formally represented

ABSTRACT

Automated negotiation is a crucial component for establishing cooperation and collaboration within multi-agent systems. While reinforcement learning (RL)-based negotiating agents have achieved remarkable success in various scenarios, they still face limitations due to certain assumptions on which they are based. In this work, we proposes a novel approach called ANOTO to improve the negotiating agents' ability via offline-to-online RL. ANOTO enables a negotiating agent (1) to communicate with opponents using an end-to-end strategy that covers all negotiation actions, (2) to learn negotiation strategies from historical offline data without requiring active interactions, and (3) to enhance the optimization process during the online phase, facilitating rapid and stable performance improvements for the learned offline strategies. Experimental results, based on a number of negotiation scenarios and recent winning agents from the Automated Negotiating Agents Competitions (ANAC), are provided.

KEYWORDS

Automated negotiation, E-commence, Reinforcement learning

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Figure 1: Framework of the proposed approach.

as the Cartesian product $\mathcal{A} = \chi \bigotimes_{i=1}^{N} \mathcal{A}_n = \chi \times \mathcal{A}_1 \times \cdots \times \mathcal{A}_N$, where $\mathcal{A}_k = \{o_m | m = 1, \dots, |i_k|\}$ denotes the choice on the *k*-th issue i_k . We design a new neural network architecture in which we distribute the representation of actions across multiple sub-policy networks. Each sub-policy network is responsible for a specific action dimension while sharing a decision module to extract features from input states and coordinate with other sub-policy networks. Each network is responsible for a specific action dimension, at the same time, maintaining a shared decision module among them to extract features from input states and coordinate each sub-policy networks.

The offline pre-training phase serves as a foundation for our online optimization. We employ a strategy to boost the Q-values of OOD actions [13], which are actions that deviate from the observed data distribution. By doing so, we aim to improve the generalization capabilities of the offline policy, allowing it to handle a wider range of negotiation scenarios. Building upon the pre-trained offline policy, we focus on the online optimization phase to further refine the negotiation performance. In this work, offline data is collected in the real-world applications considered in the ANAC competitions. Once offline datasets have been obtained, we can leverage offline RL algorithms to train our offline negotiation policy. To offline learning a strategy from fixed negotiation datasets, we introduce a special treatment for OOD actions during this offline phase, rather than excessively penalizing them. Specifically, we adopt a moderately optimistic evaluation of their Q-values, ensuring that their Q-values remain below the maximum value. The estimated values for OOD actions are allowed to be high as long as it does not affect the learning for the optimal policy supported by the dataset. This approach prevents the selection of OOD actions during the offline phase, thereby maintaining the performance of the offline policy. Simultaneously, it appropriately elevates the Q-values of OOD actions, facilitating their exploration during the online phase. Moreover, we introduce effective mechanisms to facilitate this process. These mechanisms leverage the knowledge and experience gained from the offline pre-training phase to achieve stable and rapid performance improvements. Through careful design and incorporation of these mechanisms, we aim to enhance the agent's ability to negotiate efficiently and effectively in real-time interactions against various opponents.

3 RESULTS

Table 1: Tournament results. The best is marked in bold.

Agent name	avg utility	avg social welfare	agreement
RLBOA	0.49	1.33	98.7%
TitForTat	0.56	1.16	83.33%
RandomAgent	0.57	1.26	88.63%
LinearAgent	0.59	1.42	100.00%
ChargingBoul	0.62	1.14	75.75%
AntAllianceAgent	0.63	1.43	96.96%
Comp.Agent	0.64	1.42	98.48%
RGAgent	0.66	1.26	84.84%
SmartAgent	0.68	1.35	92.42%
DreamTeam109Agent	0.69	1.32	90.91%
MiCRO	0.71	1.27	85.61%
AntHeartAgent	0.71	1.30	86.36%
SuperAgent	0.71	1.26	86.36%
ANOTO	0.76	1.38	93.80%

Then, to have a comprehensive view of the performance, Table 1 presents the ANAC-like tournament results. In this setup, recent ANAC agents are considered, and each agent negotiates with all other agents in a number of ANAC domains. As depicted in the table, the ANOTO agent behaved better than all other agents, leading the mean utility of ANAC agents by a margin of 23.9%. This results demonstrate the at agent's excellent negotiation skills against a variety of opponents. On the other hand, the RLBOA agent received a low score of 0.49, which did not exceed the mean utility of collecting agents. Interestingly, the ANOTO agent achieved a fairly high social welfare, despite not considering it in its objective. We attribute this satisfying performance to the fact that the end-to-end policy network can predict the opponents' issue weights, increasing the chances of finding offers closer to the Pareto frontier. Additionally, the ANOTO agent benefits from the effective strategy learned during the offline training phase, which observed opponent behaviors. As a result, the ANOTO agent exhibited higher agreement rates than most of the winning ANAC agents.

4 CONCLUSIONS AND FUTURE WORK

We proposes a novel approach for improving Automated Negotiation via Offline-to-Online reinforcement learning (ANOTO). AN-OTO allows the negotiating agent to learn an effective end-to-end RL-based strategy from historical offline data, and to adjust its strategy in an adaptive and efficient manner. Overall, the experimental results show that the ANOTO Agent, leveraging a more advanced technical framework, outperformed state-of-the-art negotiating agents. The exceptional results justify further research efforts into this approach. In the future, we will extend the proposed approach to negotiation settings where autonomous agents use natural language to bargain. Additionally, it is of great interest to examine the performance of the negotiation approach against human negotiators.

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SOCIETAL IMPACT

This work proposes a highly effective and efficient RL-based approach for learning negotiation strategies from previously collected data. The deployment of this technology will enhance market efficiency and also assist human negotiators in achieving better outcomes. It can compensate for the limited computational abilities of humans, especially in complex negotiations with a wide range of possible outcomes. However, since it is still in the early stages, we do not anticipate any significant negative social impact, such as massive job loss.

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