

# Real Preferences under Arbitrary Norms

## Extended Abstract

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### ABSTRACT

Whether the goal is to reach decisions among multiple agents, ensure that AI systems are aligned with human preferences, or design better recommender systems, the problem of translating between (ordinal) rankings and (numerical) utilities arises naturally in many contexts. This task is commonly approached by computing *embeddings*, which represent both the agents doing the ranking (*voters*) and the items to be ranked (*alternatives*) in a shared metric space. Here, ordinal preferences are translated into relationships between pairwise distances. Prior work has established that any collection of rankings with  $n$  voters and  $m$  alternatives (*preference profile*) can be embedded into  $d$ -dimensional Euclidean space for  $d \geq \min\{n, m - 1\}$  under the Euclidean norm and the Manhattan norm. We show that this holds for *all*  $p$ -norms and establish that any *pair* of rankings can be embedded into  $\mathbb{R}^2$  under *arbitrary norms*, significantly expanding the reach of spatial preference models.

### KEYWORDS

Spatial Preferences, Social Choice Theory, Voting

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## 1 INTRODUCTION

From selecting winners in real-world elections to reaching decisions in multiagent systems, designing powerful recommendation engines, and improving language models with human feedback: The problem of evaluating alternatives based on preference information collected from multiple parties lies at the heart of diverse practical applications. In many settings, the preference information available is *ordinal*—e.g., because rankings and pairwise comparisons can be intuitively understood by humans and communicated efficiently between agents. At the same time, *metric* representations of preferences tend to allow more expressive evaluations and more efficient computations. As a result, identifying “good” mappings from ordinal to metric preferences constitutes a key practical challenge.

In economics and political science, this challenge is commonly approached via the widely popular *theory of spatial preferences*,

which represents agents (e.g., voters) and alternatives (e.g., candidates or policy outcomes) as points in Euclidean space [19, 40]. Here, the underlying norm is often assumed to be Euclidean as well; individual utilities and collective social costs are treated as functions of Euclidean distance [17, 26]; and the dimensionality  $d$  of the target space is typically low (i.e.,  $1 \leq d \leq 3$ ). The spatial model of preferences rests on solid theoretical foundations [7, 16, 19], and latent-space models could be viewed as implicitly extending these foundations beyond the political realm. However, while much *methodological* effort has focused on estimating voters’ ideal points from real-world preference data [6, 10, 27, 29, 32], a growing body of work indicates that low-dimensional Euclidean space under the Euclidean norm provides a poor fit—both *conceptually* [18, 25, 33] and *empirically* [24, 34, 39, 43, 44]—even in political applications.

**Motivation and Related Work.** Given the importance of translating between ordinal and metric representations of preference information in practical applications, it is natural to ask under which conditions a given *preference profile* (i.e., a list of rankings over alternatives) permits what we call a *rank-preserving embedding*: a mapping of voters and alternatives into a normed real vector space that encodes the available ordinal preference information in the relationships between the pairwise distances. Toward answering this question, researchers have identified *necessary conditions* in terms of forbidden substructures [35, 41], and they have analyzed the computational complexity of recognizing when a preference profile admits a rank-preserving embedding into Euclidean space of a specified dimension [20, 28, 35]. They have also formulated *sufficient conditions* regarding the dimensionality of the embedding space for the special cases of the Euclidean [4] and Manhattan [9] norm. However, to our knowledge, nothing is known about the rank embeddability of preference profiles under *arbitrary norms*.

The dearth of rank-embeddability results under norms that are neither Euclidean nor Manhattan stands in stark contrast to the growing interest in concepts requiring the joint consideration of *all metric spaces*, such as *metric distortion* [see 2, 3, 5, 14]. Moreover, non-Euclidean norms have proved to be relevant across a variety of contexts—from *voting* [9, 15, 25, 36, 38] and *facility location* [22, 30, 31] to *algorithmic data analysis* [1, 11–13] and *recommender systems* [37]. Overall, a better understanding of the restrictions imposed on rank embeddability by more general norms appears desirable. Our work makes significant progress toward this goal.

**Formal Setting and Intuition.** We operate in a setting with  $m$  alternatives  $A = \{a_1, \dots, a_m\}$  (also known as candidates) and  $n$  voters  $V = \{1, \dots, n\}$ . Given a set of alternatives  $A$  and a voter  $i$ , a *preference of  $i$*  is a weak order  $\succeq_i$  on  $A$ , where  $x \succeq_i y$  indicates that  $i$  weakly prefers alternative  $x$  to alternative  $y$ . A preference is *strict* if  $x \sim_i y$  implies  $x = y$ , and it is *complete* if we have  $x \succeq_i y$  or  $y \succeq_i x$  for all  $x \neq y$ . We assume that preferences are strict and complete.



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Given alternatives  $A$  and voters  $V$  with preferences over  $A$ , the list  $(\succ_i)_{i=1}^n$  is said to be a *preference profile*  $\mathcal{P}_{A,V}$  (or  $\mathcal{P}$  for short). Seeking to characterize when a preference profile can be faithfully represented in a given metric space, for *real* metric spaces with *arbitrary* norms, we introduce a flexible notion of embeddability.

**DEFINITION 1 (RANK-PRESERVING EMBEDDING, RANK EMBEDDABILITY).** Given a preference profile  $\mathcal{P}$ , a dimension  $d$ , and a normed real vector space  $(\mathbb{R}^d, \|\cdot\|)$ , an assignment of coordinates  $\mathbf{a}_j \in \mathbb{R}^d$  to alternatives  $a_j \in A$  and coordinates  $\mathbf{v}_i \in \mathbb{R}^d$  to voters  $i \in V$  constitutes a rank-preserving embedding of  $\mathcal{P}$  into  $(\mathbb{R}^d, \|\cdot\|)$  if

$$a_j \succ_i a_k \iff \|\mathbf{v}_i - \mathbf{a}_j\| \leq \|\mathbf{v}_i - \mathbf{a}_k\|.$$

If there exists a rank-preserving embedding of  $\mathcal{P}$  into  $(\mathbb{R}^d, \|\cdot\|)$ ,  $\mathcal{P}$  is said to rank-embed into  $(\mathbb{R}^d, \|\cdot\|)$ .

This definition generalizes the notions of  $d$ -Euclidean [4] and  $d$ -Manhattan [9] embeddings to arbitrary norms, and it can be further generalized to arbitrary metric spaces. Intuitively, Definition 1 requires that a coordinate assignment translates higher ranks in preference orderings to smaller distances in the embedding space. Since any two voters with identical preferences can be assigned identical coordinates, this implies that the rank embeddability of  $\mathcal{P}$  into a given metric space only depends on the *distinct preferences* (i.e., *equivalence classes*) of voters. Therefore, we can assume w.l.o.g. that the preference profiles under study are *irreducible*, that is, no two voters  $i$  and  $j$  have identical preferences. Consequently,  $n$  can be interpreted as the number of distinct *voter types*.

## 2 MAIN CONTRIBUTIONS AND TECHNIQUES

Bogomolnaia and Laslier [4] proved that any preference profile with  $n$  voters and  $m$  alternatives rank-embeds into  $(\mathbb{R}^d, \|\cdot\|_2)$  if  $d \geq \min\{n, m-1\}$ . Chen et al. [9] extended this result to  $(\mathbb{R}^d, \|\cdot\|_1)$  using a very different approach, and they also treat the infinity norm using yet another construction. Leveraging our norm-independent notion of *rank embeddability* (Definition 1), we generalize these results to *arbitrary  $p$ -norms* for  $p > 1$ , streamlining the handling of the cases  $p = \infty$  and  $\infty > p > 1$  as a side effect:

**THEOREM 1 (RANK EMBEDDABILITY UNDER  $p$ -NORMS).** Given  $m$  alternatives  $A$  and  $n$  voters  $V$  with preferences over these alternatives, a preference profile  $\mathcal{P}_{A,V}$  rank-embeds into  $(\mathbb{R}^d, \|\cdot\|_p)$ , for all  $1 \leq p \leq \infty$ , if  $d \geq \min\{n, m-1\}$ .

Separating the cases  $d \geq n$  and  $d \geq m-1$ , our proofs are constructive and geometric, isolating only those features of the embedding classes introduced by Bogomolnaia and Laslier [4] that are strictly necessary to ensure rank preservation. Analyzing the asymptotic behavior of our main construction as  $p \searrow 1$ , we further elucidate why the 1-norm requires a fundamentally different construction.

We also explore how our results extend to arbitrary norms in low dimensions. In particular, we demonstrate that any preference profile with two voters rank-embeds into  $(\mathbb{R}^2, \|\cdot\|)$  for any norm  $\|\cdot\|$ :

**THEOREM 2 (RANK EMBEDDABILITY FOR TWO [TYPES OF] VOTERS UNDER ARBITRARY NORMS).** Given  $m$  alternatives, let  $\mathcal{P}$  be a preference profile featuring two (types of) voters. Then  $\mathcal{P}$  rank-embeds into  $(\mathbb{R}^2, \|\cdot\|)$  for any norm  $\|\cdot\|$  on  $\mathbb{R}^2$ .

Our proof relies on a geometric construction that, while not fully explicit, may still be of independent interest in the context of facility-location problems [e.g., 8, 30]. Unlike Bogomolnaia and Laslier [4], we do not need to resort to arithmetic calculations, which allows us to provide a much cleaner framework for reasoning about rank embeddability. All details can be found in the full version [45].

## 3 LIMITATIONS AND FUTURE WORK

Our contribution focuses on the *theoretical analysis* of spatial-preference models under arbitrary norms. Future work could systematically explore complementary approaches in this setting, such as *simulations* and *empirical studies*. On the theoretical side, while we made considerable progress toward a *full characterization* of rank embeddability under general norms, we stopped short of solving the general case. Hence, we explicitly pose the following conjecture.

**CONJECTURE 1 (RANK-EMBEDDABILITY CONJECTURE).** For  $d \geq \min\{n, m-1\}$ , any preference profile  $\mathcal{P}$  with  $m$  alternatives and  $n$  voters can be rank-embedded into  $(\mathbb{R}^d, \|\cdot\|)$ , where  $\|\cdot\|$  denotes any norm.

Extending Theorem 1 to *polynomial norms* (including weighted  $p$ -norms) could be a natural next step toward full generalization. As the techniques used by Chen et al. [9] for  $p = 1$  do not generalize to  $p$ -norms for  $p > 1$ , defining a *unified construction* that works for all  $p$ -norms also remains an open problem as well.

Beyond generalizing the upper bound on the dimensionality required to ensure rank embeddability, the *tightness of the bound* merits further investigation. Bogomolnaia and Laslier [4] show that in the presence of *indifferences* between alternatives (i.e.,  $a_j \sim_i a_l$  for some voter  $i$  and alternatives  $j \neq l$ ), for any  $d$ , there always exist preference profiles with  $d+1$  voters or  $d+2$  alternatives that cannot be embedded into  $\mathbb{R}^d$  under the Euclidean norm. However, their counterexample relies on a setting that requires at least one voter to be equidistant to multiple alternatives in the embedding space. This criterion makes the tightness of the bound significantly easier to address and does not cover, e.g., preference profiles that contain only strict inequalities or incomplete preferences. Hence, it would be valuable to understand if the bound is tight also in these scenarios.

Scrutinizing *low-dimensional profiles* under arbitrary norms could constitute another interesting avenue for future work. Escoffier et al. [21] provide some good combinatorial results for the amount of preference profiles that are Euclidean, Manhattan, or  $\ell^\infty$  in low dimensions, while Chen et al. [9] highlight just how distinct the resulting embeddings can be. Against this background, studying which preference profiles rank-embed under *multiple norms* in a specific dimension might yield interesting insights. Given the generality of our result for  $\mathbb{R}^2$  (Theorem 2), some of our techniques might prove useful for tackling this question.

Several other approaches also hold promise for approaching the general case (Conjecture 1). For instance, one could consider *approximations of balls* via polynomial norms. There are really robust uniformity results for any convex symmetric ball via polynomials—see, e.g., the works by Goodfellow et al. [23] or Totik [42]—, and we might be able to leverage those results for the higher-dimensional setting. While the corresponding constructions would not be explicit, any result establishing rank embeddability under arbitrary norms would provide a strong theoretical foundation for future work on problems related to spatial preferences.

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